

# RBI IS Audit Scoping Questionnaire

A short intake to scope your RBI information-systems / cyber-security audit against the applicable Master Directions and frameworks.

## Regulated entity

Entity name

Entity category

- |                                                     |                                              |
|-----------------------------------------------------|----------------------------------------------|
| <input type="checkbox"/> Bank                       | <input type="checkbox"/> NBFC                |
| <input type="checkbox"/> Payment Aggregator/Gateway | <input type="checkbox"/> Co-op/Small Finance |
| <input type="checkbox"/> Other                      |                                              |

Primary contact & email

## Applicable frameworks

Which apply to you?

- |                                                     |                                                   |
|-----------------------------------------------------|---------------------------------------------------|
| <input type="checkbox"/> IT Governance MD 2023      | <input type="checkbox"/> Cyber Security Framework |
| <input type="checkbox"/> Digital Lending Directions | <input type="checkbox"/> Outsourcing Directions   |
| <input type="checkbox"/> Not sure                   |                                                   |

## Environment

Core banking / key applications

Number of branches / locations

Outsourced or managed IT services?

- |                                   |                             |
|-----------------------------------|-----------------------------|
| <input type="checkbox"/> Yes      | <input type="checkbox"/> No |
| <input type="checkbox"/> Not sure |                             |

## Audit history

Date of last IS audit

Open findings from last audit?

- |                                   |                             |
|-----------------------------------|-----------------------------|
| <input type="checkbox"/> Yes      | <input type="checkbox"/> No |
| <input type="checkbox"/> Not sure |                             |

Target completion / regulator deadline

Completed questionnaires can be returned to [contact@sicherten.com](mailto:contact@sicherten.com). We use these details only to scope your engagement. This handout is a general scoping aid and not a compliance determination.